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January 13, 2004

**TO: Examiner Hayes (TC1600)**

**GROUP: 1647**

**FAX NUMBER: 703-872-9307**

**ATTORNEY DOCKET NO.: T5530.CIP (UT-0006)**

**SERIAL NO.: 09/109,858**

**FILED: July 2, 1998**

**NUMBER OF PAGES: 12**

**MESSAGE:** Attached please find Amendment Transmittal Letter, Reply under 37 C.F.R.  
1.116 mailed October 14, 2003, and Certificate of Transmission by Facsimile.

**Kathleen A. Tyrrell, Registration No. 38,350**

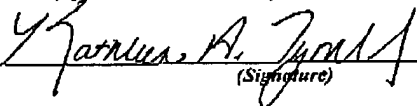
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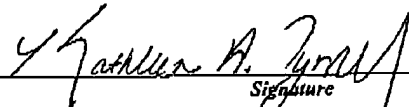
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<b>CERTIFICATE OF TRANSMISSION BY FACSIMILE (37 CFR 1.8)</b> Applicant(s): Rao et al.			Docket No. T5530.CIP(UT-0006)
Serial No. 09/109,858	Filing Date July 2, 1998	Examiner Hayes, Robert Clinton	Group Art Unit 1647
Invention: Lineage-Restricted Neuronal Precursors			
<p>I hereby certify that this <u>Reply under 37 CFR 1.116</u> (Identify type of correspondence) is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. <u>703-872-9307</u>) on <u>January 13, 2004</u> (Date)</p> <p style="text-align: right;"><u>Kathleen A. Tyrrell</u> (Typed or Printed Name of Person Signing Certificate)  (Signature)</p> <p style="text-align: center;">Note: Each paper must have its own certificate of mailing.</p>			

<b>AMENDMENT TRANSMITTAL LETTER (Small Entity)</b>			Docket No. <b>T5530.CIP(UT-0006)</b>								
Applicant(s): <b>Ra et al.</b>											
Serial No. <b>09/109,858</b>	Filing Date <b>July 2, 1998</b>	Examiner <b>Hayes, Robert Clinton</b>	Group Art Unit <b>1647</b>								
Invention: <b>Lineage-Restricted Neuronal Precursors</b>											
<u><b>TO THE COMMISSIONER FOR PATENTS:</b></u>											
Transmitted herewith is an amendment in the above-identified application.											
<input type="checkbox"/> Small Entity status of this application has been established under 37 CFR 1.27 by a verified statement previously submitted. <input type="checkbox"/> A verified statement to establish Small Entity status under 37 FR 1.27 is enclosed.											
The fee has been calculated and is transmitted as shown below.											
<b>CLAIMS AS AMENDED</b>											
	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST # PREV. PAID FOR	NUMBER EXTRA CLAIMS PRESENT	RATE	ADDITIONAL FEE						
<b>TOTAL CLAIMS</b>	7 -	20 =	0 x	<b>\$9.00</b>	<b>\$0.00</b>						
<b>INDEP. CLAIMS</b>	2 -	3 =	0 x	<b>\$43.00</b>	<b>\$0.00</b>						
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					<b>\$0.00</b>						
<b>TOTAL ADDITIONAL FEE FOR THIS AMENDMENT</b>					<b>\$0.00</b>						
<input checked="" type="checkbox"/> No additional fee is required for amendment. <input type="checkbox"/> Please charge Deposit Account No. _____ in the amount of _____ <input type="checkbox"/> A check in the amount of _____ to cover the filing fee is enclosed. <input checked="" type="checkbox"/> The Director is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. <b>50-1619</b> <input checked="" type="checkbox"/> Any additional filing fees required under 37 C.F.R. 1.16. <input checked="" type="checkbox"/> Any patent application processing fees under 37 CFR 1.17.											
 Signature <b>Kathleen A. Tyrrell, Reg. No. 38,350</b>			Dated: <b>January 13, 2004</b>								
<b>Licata &amp; Tyrrell P.C.</b> <b>66 East Main Street</b> <b>Marlton, New Jersey 08053</b> <b>Tel : 856-810-1515</b> <b>Fax: 856-810-1454</b>			<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td colspan="2">I certify that this document and fee is being deposited on _____ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.</td> </tr> <tr> <td colspan="2" style="text-align: center;">Signature of Person Mailing Correspondence</td> </tr> <tr> <td colspan="2" style="text-align: center;">Typed or Printed Name of Person Mailing Correspondence</td> </tr> </table>			I certify that this document and fee is being deposited on _____ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.		Signature of Person Mailing Correspondence		Typed or Printed Name of Person Mailing Correspondence	
I certify that this document and fee is being deposited on _____ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.											
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RESPONSE UNDER 37 CFR 1.116  
EXPEDITED PROCEDURE  
EXAMINING GROUP 1647

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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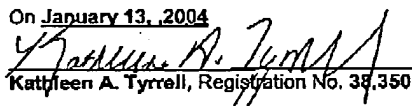
Attorney Docket No.: T5530.CIP (UT-0006)  
Inventors: Rao et al.  
Serial No.: 09/109,858  
Filing Date: July 2, 1998  
Examiner: Hayes, Robert Clinton  
Group Art Unit: 1647  
Title: Lineage-Restricted Neuronal Precursors

JAN 13 2004

## Certificate of Facsimile Transmission

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the date shown below.

On January 13, 2004

  
Kathleen A. Tyrrell, Registration No. 38,350

Mail Stop AF  
Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Reply under 37 C.F.R. 1.116

This is a reply to the Final Rejection mailed October 14,  
2003 setting a three (3) month statutory period for response.  
Please enter the following amendments and remarks into the  
record.

Attorney Docket No.: T5530.CIP (UT-0006)  
Inventors: Rao et al.  
Serial No.: 09/109,858  
Filing Date: July 2, 1998  
Page 2

Amendments to the claims are reflected in the listing of  
claims which begins on page 3 of this paper.

Remarks begin on page 7.